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Assistant Commissioner for Patents Washington, D.C. 20231

Attorney Docket No.: 16869P-036400US Client Reference No.: 340100061US1

Unassigned

Unassigned

STATEMENT UNDER 37 CFR §1.97 and

INFORMATION DISCLOSURE

OFFICE PLOT

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Examiner:

Art Unit:

§1.98

In re application of:

Tetsuya Uda et al.

Application No.: Unassigned

Filed: Herewith

For: Wavelength Division Multiplexing Optical Transmission Equipment and Method of Amplifying Optical Wavelength Division Multiplexed Signals

Box Patent Application
Assistant Commissioner for Patents
Washington, D.C. 20231

Sir:

The references cited on attached form PTO/SB/08A and PTO/SB/08B are being called to the attention of the Examiner. Copies of the references are enclosed. It is respectfully requested that the cited references be expressly considered during the prosecution of this application, and the references be made of record therein and appear among the "references cited" on any patent to issue therefrom.

As provided for by 37 CFR 1.97(g) and (h), no inference should be made that the information and references cited are prior art merely because they are in this statement and no representation is being made that a search has been conducted or that this statement encompasses all the possible relevant information.

Applicant believes that <u>no fee is required</u> for submission of this statement, since it is being submitted prior to the first Office Action. However, if a fee is required, the

Tetsuya Uda et al.

Application No.: Unassigned

Page 2

Commissioner is authorized to deduct such fee from the undersigned's Deposit Account No. 20-1430. Please deduct any additional fees from, or credit any overpayment to, the above-noted Deposit Account.

Respectfully submitted,

Reg. No. 37,478

TOWNSEND and TOWNSEND and CREW LLP Two Embarcadero Center, 8th Floor San Francisco, California 94111-3834 Tel: 650-326-2400

Fax: 650-326-2422

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Substitute for form 1449A/PTO

INFORMATION DISCLOSURE STATEMENT BY APPLICANT

(use as many sheets as necessary)

Sheet 1 of 2

Complete if Known				
Application Number	Unassigned			
Filing Date	January 17, 2002	OX.		
First Named inventor	Uda, Tetsuya	O.L		
Group Art Unit	Unassigned	2.0		
Examiner Name	Unassigned	N.T.		
Attorney Docket Number	16869P-036400US			

	U.S. PATENT DOCUMENTS						
Examiner Initials *	Cite No.1	U.S. Patent Document Kind Code ² (if known)		Name of Patentee or Applicant of Cited Document	Date of Publication of Cited Document MM-DD-YYYY	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear	
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	FOREIGN PATENT DOCUMENTS							
Examiner Initials*	Cite No. ¹	For Office ³	reign Patent Document Number ⁴ Kind Code ⁵ (if known)		Name of Patentee or Applicant of Cited Document	Date of Publication of Cited Document MM-DD-YYYY	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear	T ⁶
	AU	JP	08-223136		Nippon Telegr.,	8/30/96		Eng. Abstr. only
	AV	JP	11-055182		Fujitsu Ltd.	2/26/99		Eng. Abstr. only
	AW	JP	2000-183818		Fujitsu Ltd.	6/30/00		Eng. Abstr. only

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Signature	•	Considered	

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	Application Number	Unassigned
INFORMATION DISCLOSURE	Filing Date	January 17, 2002
STATEMENT BY APPLICANT	First Named Inventor	Uda, Tetsuya
	Group Art Unit	Unassigned
(use as many sheets as necessary)	Examiner Name	Unassigned

Attorney Docket Number

	FOREIGN PATENT DOCUMENTS							
Examiner	Cite	Foreign Patent Document		Name of Patentee	Date of Publication of	Pages, Columns, Lines, Where Relevant		
Initials*	No.1	Office ³		Kind Code ⁵ (<i>if known</i>)	or Applicant of Cited Document	Cited Document MM-DD-YYYY	Passages or Relevant Figures Appear	T ⁶
	AX	JP	2001-053686		Fujitsu Ltd.	2/23/01		Eng. Abstr. only
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